

OWNER'S CERTIFICATE OF CONTINUING 1602 PROGRAM COMPLIANCE

To: Development Bank of American Samoa
C/O Spectrum Enterprises, Inc.
545 Shore Road
Cape Elizabeth, ME 04107

No buildings have been Placed in Service
 At least one building has been placed in Service but owner elects to begin credit period in the following year.
If either of the above applies, please check the appropriate box, and proceed to page 3 to sign and date this form.

Certification Dates:	From: January 1, 20	To: December 31, 20	
Project Name:		Project No:	
Project Address:		City:	Zip:
Tax ID # or S.S. # of Owner(s):			

The undersigned _____ on behalf of _____ (the "Owner"), hereby certifies to the

Development Bank of American Samoa ("the Authority") that:

- I. The project met the minimum requirements of the 40 - 60 test under Section 42(g)(1)(B) of the Code.
 YES NO
- II. There has been **no change in the applicable fraction** (as defined in Section 42(c)(1)(B)) of any building in the project, or that there was a change and description of the change;
 NO CHANGE CHANGE
If "**Change**" list the applicable fraction to be reported to the DBAS for each building in the project for the certification year on page 3.
- III. The owner has received an annual income certification from each low-income tenant, and documentation to support that certification.
 YES NO
- IV. Each low-income unit in the project has been rent-restricted under Section 42(g)(2);
 YES NO
- V. All units in the project were for use by the general public (as defined in Section 1.42-9), including the requirement that no finding of discrimination under the Fair Housing Act, 42 U.S.C. 3601-3619, occurred for the project. A finding of discrimination includes an adverse final decision by the Secretary of the Department of Housing and Urban Development (HUD), 24 CFR 180.680, an adverse final decision by a substantially equivalent state **or local fair housing agency, 42 U.S.C. 3616a(a)(1), or an adverse judgment from a federal court;**
 YES NO
- VI. The buildings and low-income units in the project were suitable for occupancy, taking into account local health, safety, and building codes (or other habitability standards), and the State or local government unit responsible for making local health, safety, or building code inspections did not issue a violation report for any building or low-income unit in the project. If a violation report or notice was issued by the governmental unit, the owner must attach a statement summarizing the violation report or notice or a copy of the violation report or notice to the annual certification submitted to the Authority under paragraph (c)(1) of Section 1.42-5. In addition, the owner must state whether the violation has been corrected;
 YES NO
- VII. There was no change in the eligible basis (as defined in Section 42(d)) of any building in the project, or if there was a change, the nature of the change (e.g., a common area has become commercial space, or a fee is now charged for a tenant facility formerly provided without charge);
 NO CHANGE CHANGE

If "**Change**", state nature of the change on page 3.

CHANGES IN OWNERSHIP OR MANAGEMENT
 (to be completed **ONLY** if “CHANGE” marked for
 question 12 above)

**PLEASE EXPLAIN ANY ITEMS THAT WERE
 ANSWERED “NO” OR “CHANGE”.**

Question #	Explanation

TRANSFER OF OWNERSHIP

Date of Change:	
Taxpayer ID Number:	
Legal Owner Name:	
General Partnership:	
Status of Partnership (LLC, etc):	

CHANGES IN OWNER CONTACT

Date of Change:	
Owner Contact:	
Owner Contact Phone:	
Owner Contact Fax:	
Owner Contact Email:	

CHANGES IN MANAGEMENT CONTACT

Date of Change:	
Management Co. Name:	
Management Address:	
Management city, state, zip:	
Management Contact:	
Management Contact Phone:	
Management Contact Fax:	
Management Contact Email:	